# NEW INFRASTRUCTURE LOAN FUND APPLICATION

BANK OF NORTH DAKOTA
LENDING-AG/COMMERCIAL
SFN 60935 (12-2019)

| | | | |
|---|---|---|---|
| Political Subdivision | | Date of Application | |
| Address | City | State | ZIP Code |
| Tax Identification (ID) Number | Construction Start Date | Construction End Date | |
| Contact Person | Contact Email Address | Contact Telephone Number | |
| Project Engineer | Engineer Email Address | Engineer Telephone Number | |
| Type of Project<br>☐ Water/Sewer ☐ Road/Street ☐ Other, specify: | | | |
| Summary of Request | | | |

## Source and Use of Funds

| Sources of Funds | Amount | Sources of Funds | Amount |
|---|---|---|---|
| A. BND Infrastructure Loan Request | $ | C. | $ |
| B. | $ | D. | $ |
| Specify all funding sources for this project: Local, State, and/or Federal Funds (i.e. USDA, SRF, etc.) | | Total | $ |

| Use of Funds | Source A | Source B | Source C | Source D |
|---|---|---|---|---|
| | $ | $ | $ | $ |
| | $ | $ | $ | $ |
| | $ | $ | $ | $ |
| | $ | $ | $ | $ |
| | $ | $ | $ | $ |
| TOTAL | $ | $ | $ | $ |

*Note:* List high level categories in the Use of Funds (i.e. Use of Funds = Engineering Fees, Construction, Materials, etc.). Also attach a complete description of the use of the funds (Exhibit D).

## Repayment

| |
|---|
| Primary Source of Repayment |

***NOTE:*** **THE FOLLOWING EXHIBITS MUST BE COMPLETED WHERE APPLICABLE.**

1. Attach completed Appendix A for Road Projects or Appendix B for Water Projects. Label as **Exhibit A**.
   - Road/Street Projects also include sidewalks, street lights, signage, etc.
   - Water/Sewer/Storm Sewer Projects may include new construction/infrastructure
   - Other - Contact BND Loan Officer Joel Erickson (701) 328-5776
2. Have you fully investigated all funding options i.e. CDBG, Rural Development, State Revolving Fund, State Water Commission, DOT, etc.?

   ☐ No ☐ Yes If yes, provide results (i.e. refusal letter, approval letter, etc.). Label as **Exhibit B.**
3. Provide a Purpose and Need narrative not to exceed 1,000 words. Label as **Exhibit C.**
4. Provide complete description of the use of funds. Label as **Exhibit D.**
5. If the loan will be paid with special assessments, please attach the resolutions or minutes establishing that the following steps have been completed in accordance with NDCC Chapter 40-22. Label as **Exhibit E.**
   1. Resolution Creating District
   2. Resolution Approving Engineer's Report and Cost Estimate
   3. Resolution of Necessity
   4. Affidavit of Publication for the Resolution of Necessity and District Map
   5. Resolution Determining Insufficiency of Protests
6. For Water/Sewer projects, if required to provide additional information. Label as **Exhibit F.**

**Project Scoring Information**

- 0-40 Health and Safety
- 0-40 Economic Impact
- 0-20 Ability to Leverage Other Financing Options

## Important Information About Procedures for Opening a New Account

To help the federal government fight the funding of terrorism and money laundering activities, Section 326 of the USA PATRIOT Act[1] requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account (checking, savings, certificates of deposit, loans, safekeeping services, trust services, etc.).

What this means for you:

- When you open an account or request certain services, we will ask for your name, address, date of birth, identification number such as your Social Security Number or Tax Identification Number, and other information that will allow us to identify you.
- We may also ask to see your driver's license or other identifying documents.

The principal purpose and routine uses of this information are to verify your identity, provide for the servicing of your account or loan, including communications with consumer reporting agencies, and in the event it is necessary, to locate you and collect on your loan(s). Providing any requested information is mandatory in order to open the account or receive the requested service. We will not be able to open your account or grant the service if the requested information is not provided.

In all cases, protection of our customers' identity and confidentiality is the Bank's pledge to you.

[1] This notice also satisfies our obligations under the Privacy Act of 1974.

## Acknowledgment and Agreement

The undersigned specifically acknowledge(s) that: (1) verification or re-verification of any information contained in the application may be made at any time by the Lender, its agents, successors, and assigns, either directly or through a credit reporting agency, from any source named in this application, and the original copy of this application will be retained by the Lender, even if the loan is not approved; (2) the Lender, its agents, successors and assigns will rely on the information contained in the application and I/We have a continuing obligation to amend and/or supplement the information provided in this application if any of the material facts which I/We have represented herein should change prior to closing; (3) in the event my/ our payments on the loan indicated in this application become delinquent, the Lender, its agents, successors, and assigns, may, in addition to all their other rights and remedies, report my/our name(s) and account information to a credit reporting agency.

**The applicant hereby makes application to the Bank of North Dakota for a BND Infrastructure Loan in accordance with the provisions of the North Dakota Century Code 6-09-49.**

| | |
|---|---|
| Political Subdivision | |
| Name of Representative | |
| Title of Representative | |
| Signature of Representative | Date |

APPENDIX A

# ROAD/STREET PROJECT SCOPING WORKSHEET

(Includes sidewalks, street lights, signage, etc.)

| City | Street |
|---|---|
| County | Length |
| Proposed Improvement | |

## Cost Estimates Breakdown (in $1,000)

| Alternate | Preliminary Engineering (PE) | Right-of-Way (ROW) | Utility | Construction | Bridges | Miscellaneous | TOTAL |
|---|---|---|---|---|---|---|---|
| $ | $ | $ | $ | $ | $ | $ | $ |
| $ | $ | $ | $ | $ | $ | $ | $ |

## Present Road/Streets

| Surface Width | Surface Type | Is On-Street Parking Allowed? ☐ No ☐ Yes, Select Type: ☐ One Side ☐ Both Sides ☐ Angle ☐ Parallel |
|---|---|---|
| If on-street parking is NOT currently allowed, is it a proposed improvement? ☐ No ☐ Yes, Select Type: ☐ One Side ☐ Both Sides ☐ Angle ☐ Parallel | | |

## Proposed Improvements

| Average Daily Traffic (ADT) - Present | | Year | Travel Way Width | ADT Design | Design Year |
|---|---|---|---|---|---|
| Number of Lanes | Design Speed | Maximum Curve | Roadway Width (R/W) | Minimum R/W Width | Maximum Grade |

## Right-of-Way

| Will additional Right-of-Way (ROW) or easement be acquired? ☐ Yes ☐ No | Has any ROW easements been acquired since 7/1/1972? ☐ Yes ☐ No |
|---|---|
| Estimated Number of Occupied Family Dwellings to be Displaced | Estimated Number of Businesses to be Displaced |

## Impacts

| Will there be any additional Impacts (Cultural and Environmental Resources)? ☐ No ☐ Yes, Explain: | | |
|---|---|---|
| Will there be any taking of any right-of-way from any public parkland, schools, etc., which may be subject to 4(f) or 6(f)? ☐ No ☐ Yes, Explain: | | |
| Airports | Public Hearings | Environmental Classifications (i.e. Cat-Ex, EA, EIS) |
| Transportation Enhancements | Intermodal | Pedestrian Needs |

## Railroad (RR) Crossings

| Railroad Name | Number of Tracks | Number of Crossings | Type of Crossing | Daily Train Movements | Train Speed | Present Protection | Proposed Protection |
|---|---|---|---|---|---|---|---|
| | | | | | | | |
| | | | | | | | |

| | |
|---|---|
| Year Current Section of Street Built | Has there been any additional maintenance to the street section?<br>☐ No ☐ Yes, Explain: |
| Number of Driving Lanes in Current Street Section | Widths of Driving Lanes |
| Number of Turning Lanes in Current Street Section | Widths of Turning Lanes |
| Pavement Type<br>☐ Asphalt | Pavement Condition (if asphalt, is there alligator, longitudinal, or traverse cracking, raveling, bituminous patching, or rutting) |
| ☐ Concrete | Pavement Condition (if concrete, are there broken slabs, faulting, bituminous patching, joint spalling, traverse or longitudinal cracking) |
| Existing Roadway Geometrics | |
| Are there any access points to adjoining properties that present a special concern?<br>☐ No ☐ Yes, Explain: | |
| Are there existing sidewalks or shared use paths in place? (ADA requirements need to be addressed)<br>☐ No ☐ Yes, Explain: | |
| Condition of Existing Storm Sewer | |
| Will any additional storm sewer work need to be done along with this project to improve drainage?<br>☐ No ☐ Yes, Explain: | |
| Condition of City's Water and Sewer Line | |
| Will any work need to be done to city's water and sewer lines along with this project?<br>☐ No ☐ Yes, Explain: | |
| Describe the existing lighting system. What type of standards and luminaries are currently being used? | |
| Intersections that currently have traffic signals | |
| Locations of High Crash Rates | |
| Are additional turning lanes needed?<br>☐ No ☐ Yes, Explain: | |

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| Identify any other safety improvements or concerns you may have. |

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|---|
| Additional Remarks |

| | |
|---|---|
| Project Engineer | Date |

APPENDIX B

**WATER/SEWER PROJECT SCOPING WORKSHEET**

(Includes water, sewer, storm sewer, etc.)

| | |
|---|---|
| City | Location |
| County | Length |
| Proposed Improvement | |

**Water Quality and Quantity**

Is one of the purposes of your project to correct ongoing and unresolved water quality problems that your system is experiencing?

☐ No ☐ Yes If yes, detailed information concerning the water quality problems, as well as how the project will solve the problems, must be given in an attachment. Such information must include an estimate of the maximum water (in gallons per day) presently available to residential users served by your system.

**Infrastructure Adequacy**

*From the list below, indicate with an 'X' which infrastructure needs that your project is intended to address (applies to your system only). A complete description of each problem, along with an explanation of how the project will solve the problem, must be attached.*

| | **Check, if applicable** | | |
|---|---|---|---|
| | Drinking Water | Sanitary Sewer | Storm Sewer |
| Underground pipes and appurtenances | | | |
| Pumping or storage structures | | | |
| Treatment plant operating at or above design capacity. The following information must be attached: design capacity; water production rates required to meet present demands (summer and winter); projected water production rates required to meet future demands (summer and winter); and, hours per day presently operates (summer and winter). | | | |
| For systems relying solely on their own groundwater supply, provision of a second well where only one functional well exists. | | | |
| Source facility | | | |
| Discharge facility | | | |

| | |
|---|---|
| Total Current Population (including bulk users served by the master meter) | Total Population (after project completion) |
| Total Service Connections (current) | Total Service Connections (after project completion) |

NOTE: Consider users within your municipality such as individually metered residences, schools, businesses, campgrounds, and rest areas as one service connection. Include the number of residential service connections within bulk users served by master meter such as trailer courts and subdivisions. Do NOT include users and associated service connections that you supply water to OUTSIDE of your municipality (if any).

| | |
|---|---|
| Total Service Connections (supplying water OUTSIDE of municipality) | Total Connections Outside of Municipality (after project completion) |

NOTE: Consider users outside of your municipality such as households, schools, businesses, campgrounds, and rest areas as one service connection. Include the number of service connections WITHIN bulk users served outside of your municipality by master meter such as subdivisions, trailer courts, other municipalities, and regional or rural water systems. Detailed information must be attached documenting the user types and service connection number for all users served outside of the municipality.

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|---|---|
| Residential Base Rate - Water (current) | Residential Base Rate - Water (after project completion) |
| Residential Base Rate - Sewer (current) | Residential Base Rate - Sewer (after project completion) |

| Project Life Expectancy (number of years) |
|---|
| |

| Additional Remarks |
|---|
| |

| Project Engineer | Date |
|---|---|
| | |